# Form

# **AT-106**

For license period beginning	g $\frac{1}{M} \frac{1}{M} \frac{1}{D} \frac{1}{D} \frac{1}{Y} \frac{1}{Y} \frac{1}{Y} \frac{1}{Y}$ and ending	$\overline{M}\overline{M}\overline{D}\overline{D}\overline{Y}\overline{Y}$	<u> </u>				
License(s) Desired (Up to two)	License Fees						
Class "A" Beer	"Class B" Beer	License 1	\$				
☐ Class "A" Liquor	"Class B" Liquor	License 2	\$				
☐ Class "A" Liquor (cider)	Reserve "Class B" Liquor	Publication Fee	\$				
"Class C" Wine	"Class B" (winery)						
	Class B (Willely)	Total Fees	\$				
Part A: Premises/Business Information							
Legal Business Name (Registered Entity Name or Individual's Name if Sole Proprietorship)							
2. Trade Name or DBA							
3. Premises Address							
4. County	5. Municipality	6. Aldermanic District	t				
7. Mailing Address (if Different from Premises Address)							
8. FEIN (Sole Proprietors use Social Security Number)  9. Wisconsin Seller's Permit Number							
10. Premises Phone	11. Premises Email						
12. Entity Type (check one)							
Sole Proprietor Limited Liability Company Nonprofit Corporation							
☐ Partnership ☐ Corporation							
13. Premises Description - Describe the building or buildings where alcohol beverages are to be sold and stored. Describe all rooms including living quarters, if used, for the sales, service, consumption, and/or storage of alcohol beverages and records. Alcohol beverages may be sold and stored ONLY on the premises described in this application. Attach a floor plan if possible.							
Part B: Questions							
Have the partners, agents, or sole proprietors satisfied the responsible beverage server training requirement for this license period?							
2. Does the applicant business or any of its officers, directors, member/managers hold a direct or indirect interest in any alcohol beverage wholesaler or producer (e.g. brewery, brewpub, winery, distillery)?							

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Part C: For Corporate/LLC Applica	ents Only						
1. State of Registration 2. Date of Re					stration		
3. Is the applicant entity owned by another corporation or LLC? If yes, please provide the name and FEIN of the parent company below, include parent company members in Part D, and attach form AT-103 for all of the parent company's principal members, managers, officers, or directors							
Name of Parent Company			FEIN of Parent Company				
4. Does the entity or any of its officers, directors, or agents hold any direct or interest in any other alcohol beverage wholesaler or producer (e.g. brewery, brewpub, winery, distillery) in Wisconsin?							
Part D: Individual Information							
A Supplementary Questionnaire, Form AT-103, must be completed and attached to this application for each person involved in the applicant business and any parent company as indicated in Part C. Persons invloved in the applicant business include:  • sole proprietor  • all officers, directors, and agents of a corporation or nonprofit ogranization  • member/managers and agents of a limited liability company							
List the full name, title, and phone number for each person below.							
Last Name	First Name			Title	Phone		
D 15 40 10							
Part E: Attestation							
Who must sign this application?  • sole proprietor  • one general partner of a partnership  • one corporate officer  • one member/manger of an LLC							
<b>READ CAREFULLY BEFORE SIGNING:</b> Under penalty of law, I have answered each of the above questions completely and truthfully. I agree that I am acting solely on behalf of the applicant business and not on behalf of any other individual or entity seeking the license. Further, I agree that the rights and responsibilities conferred by the license(s), if granted, will not be assigned to another individual or entity. I agree to operate this business according to the law, including but not limited to, purchasing alcohol beverages from state authorized wholesalers. I understand that lack of access to any portion of a licensed premises during inspection will be deemed a refusal to permit inspection. Such refusal is a misdemeanor and grounds for revocation of this license. I understand that any license issued contrary to Wis. Stat. Chapter 125 shall be void under penalty of state law. I further understand that I may be prosecuted for submitting false statements and affidavits in connection with this application, and that any person who knowingly provides materially false information on this application may be required to forfeit not more than \$1,000 if convicted.							
Signature			]	Date			
Name (Last, First, M.I.)							
Title/Member		Email			Phone		

Part F: For Clerk Use Only				
Date application was filed with clerk	Date reported to governing body			
Date provisional license issued (if applicable)	Date license granted			
Date license issued	License number			
Signature of Clerk/Deputy Clerk				



# Form AT-106 Instructions

# Original Alcohol Beverage License Application

## Who needs an alcohol beverage license?

Anyone who wants to sell alcohol beverages to customers to drink on location or to take to go must get an alcohol beverage license. No one may sell alcohol beverages or allow consumption in a public place without getting a license.

## Who issues alcohol beverage licenses?

Municipal clerks of cities, villages, and towns issue alcohol beverage licenses after the governing body (city council, town or village board) grants the license.

## **Specific Instructions**

#### License Period:

- Enter the beginning and ending date of the license period in the format MM/DD/YYYYY.
- Annual licenses expire June 30 each year except licenses issued by the City of Milwaukee. Annual licenses issued by the
  City of Milwaukee may be issued at any time throughout the year and are valid for one year from the date of issuance.

#### License Desired and License Fees:

- Select all the alcohol beverage license(s) you would like to apply for.
- You may apply for no more than two licenses for the same premises. Further, some license combinations are not acceptable, (e.g. Class "A" and a "Class "B").
- For descriptions of each of the alcohol beverage licenses and their authorizations, see pages 7-9 of <u>Publication 302</u>, Information for Wisconsin Alcohol Beverage and Tobacco Retailers.
- License costs are determined by the municipality within a range set by state law. Ask your clerk how much the licenses in that municipality cost as well as the publishing fee.
- License fees for licenses issued for less than 1 year must be prorated according to the number of months or fraction of months remaining in the licensing year.

#### Part A: Premises/Business Information

- Enter the legal business name in box 1.
- Enter the trade name or "doing business as" name in box 2, if different than the name in box 1.
- All requests for "premises" information are requests for the physical location within the municipality and contact information to reach the business during open hours.
- · Check one entity type in box 12 to indicate how the business is legally organized.
- · Box 13: Premises Description.

**Example:** The buildings at 1234 Concord Ln. Vineyard, WI 12345 will be used to sell, serve, store, and allow for consumption of alcohol beverages. The main building on parcel #124398764 is 5,000 square feet and has a barn with a bar, backroom/storage room, winery, and four restrooms. The parcel also has an acre of land where guests can carry their beverages and play games on the lawn. The second building on parcel #134986418 is 2,000 square feet and will be used as rentable event space. It includes two bathrooms, a bar and an outdoor patio. All areas of the building and associated land allow for sales, consumption, and storage of alcohol beverages and records.

#### Part B: Questions

- Question 1: Wisconsin law requires all sole proprietors, partners, agents of corporations to successfully complete a Wisconsin approved responsible beverage server (RBS) training course within the past two years unless:
  - · The applicant is renewing a license
  - Within the past two years:
    - a. The applicant held a manager's or operator's (bartender) license.
    - b. The applicant held or was the agent of a corporation that held any municipally-issued alcohol beverage license in Wisconsin.

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- To learn about your responsibility to complete the responsible beverage server requirement, please review page 7 of Publication 302, Information for Wisconsin Alcohol Beverage and Tobacco Retailers.
- Question 2: Wisconsin law generally prohibits businesses and individuals from having an interest in more than one tier of
  the alcohol beverage industry (production, wholesale, and retail). Some examples of prohibited interest restrictions are
  described in Administrative Code (sec. 8.87, Wis. Adm. Code).

### Part C: For Corporate/LLC Applicants Only

- Complete this section if you checked corporation or a limited liability company in box 12, Part A.
- Box 3: If the applicant is owned by another corporation or LLC, include information about the parent company's principal members, managers, officers, or directors in Part C below, including the completion of Form AT-103.
- Some examples of prohibited interest restrictions are described in Administrative Code (sec. 8.87, Wis. Adm. Code).

**Note:** If the applicant business, parent company, or any managers, or officers of either entity hold a direct or indirect interest in an alcohol beverage producer or wholesaler, you may not be eligible to hold a retail alcohol beverage license. Before submitting this form, reach out to your municipal clerk or the Department of Revenue.

### Part D: Individual Information

- Provide basic information for all persons involved in the retail alcohol beverage business who are owners, officers, directors, members, managers, or agents.
- Example titles: President, Treasurer, Director, Chief Financial Officer, Member, Partner, etc.
- Sole-proprietors, partners in a partnership, and agents of an LLC or corporation must reside in Wisconsin.
- Include an supplemental questionnaire (Form AT-103) for each person listed in this section with the submission of this application.

#### Part E: Attestation

· Read the attestation carefully, then sign and date.

#### Part F: For Clerk Use Only

- "Date license granted" means the date the municipal governing body approved the license to be issued.
- "Date license issued" means the date the municipal clerk issued the license certificate document.

### Completion and Submission of AT-106

- Submit the completed application to the clerk of the municipality in which you are applying for a license.
- License applications must be filed with the municipal clerk at least 15 days before they can be approved by the governing body, except licenses issued by municipalities within Milwaukee County. Governing bodies of municipalities within Milwaukee County establish their own period that applications must be filed with the municipal clerk.
- In addition to Form AT-106, include:
  - Form AT-103 for the sole-proprietor; all officers, directors, and agents of a corporation or nonprofit organization; all members/partners of a partnership; all members/managers and agents of a limited liability company
  - Form AT-104 for corporation and LLC applicants
  - License and publication fees as required by your municipality
  - Responsible beverage server training course completion certificate or other acceptable replacement document described in Part D, Question 1
  - If requested by the municipal clerk, proof the applicant holds a seller's permit, such as a copy of the seller's permit document

**Note:** See <u>Publication 206</u>, *Sales Tax Exemptions for Nonprofit Organizations*, for information on when a nonprofit organization may be exempt from holding a seller's permit.

All other information and documents required by your municipality

**NOTE:** You are required by federal law to register as an Alcohol Dealer with the federal Alcohol and Tobacco Tax and Trade Bureau (TTB) before beginning business. Use <u>Form TTB F 5630.5d</u> *Alcohol Dealer Registration* and return the form to the address listed on the instructions.

#### **Assistance**

This form is designed by the Department of Revenue for use by municipal governments. If you require assistance with this form, consider reaching out to your local clerk for assistance with the following:

- · Submission of this application and associated forms
- · Availability of certain licenses in a community

If you have questions about alcohol beverage laws and regulations, you may contact the Department of Revenue using the contact information below.

Website: DOR Alcohol Beverage (wi.gov)

Write: DORAlcoholTobaccoEnforcement@wisconsin.gov

Call: (608) 264-4573

# Resources Provided by the Department of Revenue

License frequently asked questions

Publication 302 DOR Alcohol Beverage Laws for Retailers Licenses

<u>Publication 309</u> Retail Alcohol Beverage Licensing Guide for Municipalities

Fact Sheet 3101 Licenses for Retail Sale of Alcohol Beverages

Fact Sheet 3103 Licensed or Permitted Premises Description

Fact Sheet 3116 Reserve "Class B" Liquor Licenses

Fact Sheet 3118 "Class B" Liquor License Quotas